

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on April 10, 2003.

Daryl A. Albus

Applicant

Harry Staples, et al.

Application No.:

09/827,022

Filed

April 4, 2001

Title

FAIL-OVER CIRCUIT FOR VOICE-ENABLED SWITCH

Grp./Div.

2661

20

APR 1 7 2003

Examiner

to be assigned

Technology Center 2600

Docket No.

41179/JEC/X2134046

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 April 10, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B with a listing of references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

Application No.09/827,022

in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Josephine!

Reg. No. 46,083 626/795-9900

JEC/daa

d

Enclosures: PTO/SB/08A/B, w/references DAA PAS496202.1-*-4/4/03 4:41 PM

| APR 1 | 5 2003 | STOR S |
|-----------|--------|----------|
| KINT & IR | ADEMAR | , |

| FORM PTO/SB/08A/B (10-01) | | | |
|---------------------------|-------------|--|--|
| Substitute for | PTO-1449A/B | | |

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

| Attorney Docket Number | 41179/JEC/X2 | | |
|------------------------|-----------------------|--|--|
| Application Number | 09/827,022 | | |
| Filing Date | April 4, 2001 | | |
| Applicant(s) | Harry Staples, et al. | | |
| Group Art Unit | 2661 | | |
| Examiner Name | to be assigned | | |

| U.S. PATENT DOCUMENTS | | | | |
|-----------------------|---------------|--|--------------------------------|------------------------|
| EXAMINER INITIALS | Cite No. 1 | DOCUMENT NUMBER Number - kind code ² - (If known) | PUBLICATION DATE MM-DD-YYYY | NAME OF PATENTEE |
| | | , | | RECEIVED |
| | | | | APR 1 7 2003 |
| | | | | Technology Center 2600 |

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|--|---|-----------------------------------|--|--------------------|
| EXAMINER INITIALS | | Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁶ (✔) |
| | | EP 0 920 176 A2 | 06-02-1999 | Brian Cruickshank | |
| | | WO 99/66682 | 12-23-1999 | Anthony Ho | |
| | | WO 00/72536 A1 | 11-30-2000 | Kevin R. Smith, et al. | |

| OTHER DOCUMENTS | | | |
|---|--|--|--|
| EXAMINER Cite Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, series symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | |
| | | International Search Report dated March 10, 2003 cited from corresponding PCT Patent Application No. PCT/IB01/02749, filed April 4, 2001 | |
| | | HOUGHTON, T.F. et al; A Packet Telephony Gateway for Public Network Operators; XVI World Telecom Congress Proceedings; Publication Date: September 21, 1997; pp. 35-44 | |
| | | | |

| EXAMINER | DATE | |
|-----------|------------|--|
| SIGNATURE | CONSIDERED | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JEC/daa

DAA PAS496203.1-*-4/9/03 12:15 PM